



JC19 Re PCT/PTO 14 MAY 2001
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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xiong Zhang, et al.

Docket No: 83973-0269224

Serial No.: 09/700,236

Filed: November 10, 2000

For: CRYSTAL GROWTH METHOD FOR GROUP-III NITRIDE AND RELATED COMPOUND SEMICONDUCTORS

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION

5080

Commissioner of Patents and Trademarks
Washington, D.C. 20231

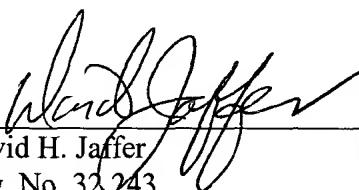
Dear Sir:

The enclosed Information Disclosure Statement (with attached Form PTO-1449) is submitted for filing in the above-identified application within three months of filing or before the mailing date of a first Office Action on the merits.

The person making this statement is the attorney who signs below on the basis of the information in the attorney's file.

Please date-stamp and return the enclosed postcard as confirmation of receipt of the above materials.

Date: 5-8-01


David H. Jaffer
Reg. No. 32,243

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PILLSBURY WINTHROP LLP
2550 Hanover Street
Palo Alto, CA 94304-1115
Telephone No.: (650) 233-4500

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231 on 5-9-01 by Diana Deering Diana Deering



10 ROCKY MOUNTAIN 14 MAY 2001

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Xiong Zhang, et al. Docket No: 83973-0269224
Serial No: 09/700,236 Group Art Unit:
Filed: November 10, 2000 Examiner:
For: CRYSTAL GROWTH METHOD FOR GROUP-III NITRIDE AND RELATED COMPOUND SEMICONDUCTORS

Commissioner of Patents and Trademarks
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

The following sections are being submitted for this Information Disclosure Statement:

1. Preliminary Statements
2. Form PTO-1449 (modified)
3. Statement as to Information Material to Examination Not Found in Patents or Publications
4. Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted or Need Be Submitted
5. Cumulative Patents or Publications
6. Copies of Listed Information Items Accompanying This Statement
7. Concise Explanation of Non-English Language Listed Information Items
8. Translation(s) of Non-English Language Documents
9. Identification of Person(s) Making This Information Disclosure Statement

Sections , respectively, have been continued on ADDED PAGE(S).

Section 1. Preliminary Statements

Applicant submits herewith patents, publications or other information of which Applicant is aware which are believed to be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.



Section 9. Identification of Person(s) Making This Information Disclosure Statement

The person making this statement is

(a) the inventor(s) who signs below

(signature of inventor)

(typed name)

(b) the attorney who signs below on the basis of:

- the information supplied by the inventor(s)
- an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))
- the information in the attorney's file

Date

Date 5-8-01

(signature of attorney)

David H. Jaffer

Reg. No. 32,243

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Sheet 1 of 2

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 83973-0269224	SERIAL NO. 09/700,236
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT XIONG ZHANG, ET AL.	
		FILING DATE November 10, 2000	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
AA	5,863,811	01-26-99	KAWAI ET AL.	438	47	
AC	5,290,393	03-01-94	NAKAMURA	156	613	
AB	4,855,249	08-08-89	AKASAKI ET AL.	437	81	
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	NAME	CLASS	SUBCLASS	TRANSLATION YES NO
AL							
AM							
AN							
AO							
AP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	
AS	
AT	

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



10 REGISTRATION 16 MAY 2001

Sheet 2 of 2

FORM PTO-1449U.S.	DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.						
		83973-0269224	09/700,236						
<p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p style="text-align: right;">RECEIVED U.S. PATENT AND TRADEMARK OFFICE NOV - 9 2000</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="padding: 5px;">APPLICANT</td> <td style="padding: 5px;">FILING DATE</td> <td style="padding: 5px;">GROUP</td> </tr> <tr> <td style="padding: 5px;">Xiong Zhang, et al.</td> <td style="padding: 5px;">November 10, 2000</td> <td style="padding: 5px;"></td> </tr> </table>				APPLICANT	FILING DATE	GROUP	Xiong Zhang, et al.	November 10, 2000	
APPLICANT	FILING DATE	GROUP							
Xiong Zhang, et al.	November 10, 2000								

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)